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Attorney Docket No. 23380-602 NATL



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Strittmatter et al.  
SERIAL NUMBER: 10/519,132 EXAMINER : Not Yet Assigned  
FILING OR 371(C) DATE: December 22, 2004 ART UNIT : Not Yet Assigned  
FOR: MODULATORS AND MODULATION OF THE INTERACTION  
BETWEEN RGM AND NEOGENIN

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Information Disclosure Statement (2 pages);
2. Modified Form 1449/PTO (3 pages), in duplicate;
3. Copies of Cited References: B1-12; C1-70; and
4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 23380-602 NATL. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

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Gregory J. Sieczkiewicz, Reg. No. 48,223  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
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Tel: (617) 542-6000  
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Date: June 23, 2005



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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action based on the merits in the above-identified case. Accordingly, no fee or certification is believed due. By the waiver of 37 CFR 1.98(a)(2)(i) copies of the U.S. Patents A1-A6 are not submitted.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

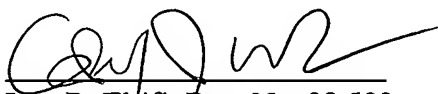
By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANT: Strittmatter et al.  
U.S.S.N.: 10/519,132

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 23380-602 NATL.

Respectfully submitted,



Ivor R. Elfi, Reg. No. 39,529  
Gregory J. Sieczkiewicz, Reg. No. 48,223  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Modified Form 1449/PTO				Application Number		10/519,132	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Filing or 371(c) Date		December 22, 2004	
				First Named Inventor		Strittmatter	
				Group Art Unit		Not Yet Assigned	
				Examiner Name		Not Yet Assigned	
				Attorney Docket Number		23380-602 NATL	
<b>U.S. PATENT DOCUMENTS</b>							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	*A1	4,946,778	08/07/90	Ladner et al.	435	69.6	
	*A2	5,525,490	06/11/96	Erickson et al.	435	29	
	*A3	5,541,109	07/30/96	Searfoss III et al.	435	252.3	
	*A4	5,747,262	05/05/98	Hinck et al.	435	7.1	
	*A5	6,004,746	12/21/99	Brent et al.	435	6	
	*A6	6,087,326	07/11/00	Hinck et al.	514	2	
<b>U.S. PUBLISHED APPLICATION DOCUMENTS</b>							
Exam Initials	Cite No.	U.S. Published Application No.	Published Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
<b>FOREIGN PATENT DOCUMENTS</b>							
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No		
	B1	WO 94/29469	VICAL INCORPORATED; REGENTS OF THE UNIV. OF MICHIGAN	12/22/94			
	B2	WO 97/00957	PRESIDENT AND FELLOWS OF HARVARD COLLEGE	01/09/97			
	B3	WO 98/25947	BRISTOL-MYERS SQUIBB COMPANY	06/18/98			
	B4	WO 99/51741	CURAGEN CORPORATION	10/14/99			
	B5	WO 00/02911	CURAGEN CORPORATION	01/20/00			
	B6	WO 00/05410	TECHNION RES. AND DEV. FOUNDATION LTD.	02/03/00			
	B7	WO 00/14271	LOMA LINDA UNIVERSITY	03/16/00			
	B8	WO 00/17221	DUKE UNIVERSITY	03/30/00			
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	B10	EP 0 291 533	UNIVERSITY PATENTS, INC.	10/18/95			
	B11	EP 0 321 201 A2	COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RES. ORG.	06/21/89			
	B12	EP 0 360 257 A2	THE BOARD OF REGENTS FOR NORTHERN ILLINOIS UNIV.	03/28/90			
<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>							
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.					
	C1	Accession Number BC23870 (02/24/04)					
	C2	Accession Number AK080819 (04/03/04)					

C3	Accession Number BC022603 (01/03/05)
C4	Accession Number BI818609 (10/04/01)
C5	Accession Number BI769500 (09/25/01)
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C7	Bagnard et al. <i>Development</i> , 125:5043-5053 (1998)
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C9	Bonhoeffer et al. <i>Trends Neurosci.</i> , 7:378-381 (1984)
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C19	Famulok et al. <i>Curr. Op. Chem. Biol.</i> , 2:320-327 (1998)
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C70	Yu et al. <i>Nat. Neurosci.</i> , 4(Suppl):1169-1176 (2001)

\* By the waiver of 37 CFR 1.98(a)(2)(i) copies of the U.S. Patents A1-A6 are not submitted.

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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